



Wholesale Lending



Mortgage Broker Application

1. Please complete the following information:

Mortgage Broker Company: _____

DBA (if applicable): _____

Company NMLS Number: _____

Date Business Opened: _____

Owner: _____

Principal Officer(s): _____

Number of Branch Offices: _____

Service Area: _____

Loan Programs of Interest: _____

<p>Has there at any time been any consent orders, exam violations, regulatory penalties, suspensions, terminations, exclusions, or ANY unfavorable findings regarding your company, its principals, or any of your employees?</p>	<p>No Yes If yes, please explain:</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p>
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Provide contact information for future requests for updated broker information:

Email:

Phone:

2. Please submit this completed and signed application along with the following additional information for our review:

- Two most recent years filed tax returns for company/business.
- Year-to-date Profit and Loss Statement and Current Balance Sheet for company/business.
- Current resume for all principal officers/key personnel.
- Minimum of 3 lender references and contact information for each.
- Copy of current state license or exemption certificate.
- Current contact information for all branch and DBA locations including active license numbers.
- Fully executed Washington Federal Bank “Lender-Mortgage Broker Agreement” and “Compensation Agreement”, including addendum to compensation agreement titled “Lender-Paid Compensation Election Form”.
- Copies of current mortgage lending disclosures provided for an Owner-Occupied transaction (purchase and refinance).

3. Acknowledgement Broker is ensuring all loan officers are completing continuing education trainings for regulatory requirements including but not limited to Home Mortgage Disclosure Act (HMDA), Fair Housing Act (FHA) and Equal Credit Opportunity Act (ECOA).

4. Print application and then sign and date Broker Certification:

Broker Certification: As a principal officer of the named Mortgage Broker Company, I certify the information provided in this application is true and accurate, including the additional information provided listed above:

Signature: _____ **Date:** _____

Title: _____

5. Please allow a minimum of 10 business days for review once all the items listed in #2 have been received. A written confirmation of our decision will be provided. Thank you.

For WaFd use only:

Approved Declined. Reason for decline: _____

Trial-basis. Broker informed of decision on this date: _____

AE Signature: _____ Date: _____

Manager Signature: _____ Date: _____