



Broker Affiliated Business Disclosure

Provisions of the Dodd-Frank Act were enacted that implement regulatory action for Qualified Mortgages and assessment of a borrower’s ability-to-repay. Real estate related fees or charges must now be included in the points and fees testing if the fee or charge is paid to an affiliated as defined by the CFPB. Washington Federal Bank, NA (WaFd Bank) will request the status of any affiliation relationships for our approved Broker clients semiannually to maintain compliance and accurate books and relationship records.

BROKER COMPANY NAME: _____

BROKER NMLS NUMBER: _____

BROKER EIN #: _____

BROKER BUSINESS ADDRESS: _____

BROKER PHONE: _____

WAFD BANK ACCOUNT EXECUTIVE: _____

Does your company or any of its owners or its employees have any affiliated business arrangements, including but not limited to part or full ownership in an Escrow Company, Title Company, Closing Attorney, Appraisal Company, Real Estate Company, Attorney, Home Improvement, Construction, Loan Modification, Tax Preparation, Credit Repair or Credit Counseling?

If NO, please initial here and sign below: _____

If YES, provide the information listed below for each business affiliation.

COMPANY NAME	COMPANY TYPE/ SERVICES OFFERED	OWNER(S) NAME(S)	OWNERSHIP %	BUSINESS ADDRESS

The undersigned hereby represents warrants and certifies that the information contained herein is complete and accurate in all material respects. Furthermore, the undersigned authorizes WaFd Bank, NA, the right to request and receive additional documentation to support the answers provided herein.

In the event of any change in the information above, I will immediately notify WaFd Bank, NA in writing of the changes.

Signature

Printed Name

Date